#### **NEW DEADLINE: 21 MARCH 2022**

# CALL FOR APPLICATIONS FOR THE SELECTION OF MEMBERS OF THE EXPERT GROUP FOR THE COMMUNITY FOR EUROPEAN RESEARCH AND INNOVATION FOR SECURITY (CERIS) AND ITS SUBGROUPS

The Commission's Directorate-General for Migration and Home Affairs extends the call for applications for the selection of Members of the expert group for the Community for European Research and Innovation for Security (CERIS) and its subgroups and welcomes further applications until 21 March 2022 included.

The deadline for the call for applications has been extended to collect more applications in order to reach a balanced distribution of gender and a balanced geographical representation.

The applicants who already sent their applications between 1 February and 14 March 2022 do not need to re-apply under this extended call.

# 1. Background

The European Commission-(Directorate General for Migration and Home Affairs - DG HOME) has set up a group of experts for the *Community for European Research and Innovation for Security/CERIS* ("the group").

An efficient research and innovation cycle in the security domain requires for all stakeholders, ranging from policy-makers and security practitioners (law enforcement, border guards, customs, first responders etc.) to societal actors (researchers, academia, industry, civil society, citizens, etc.) to work hand in hand during the whole research and innovation process.

The group is integrating all the existing types of stakeholders and work strands related to security research under one umbrella. The group's tasks shall be to:

- assist DG HOME in the preparation of policy initiatives in the field of security research and innovation, by providing specialist advice and technical expertise in the following four thematic areas:
  - 1) Fighting crime and terrorism, including the protection of critical infrastructure;
  - 2) Disaster resilient societies;
  - 3) Border management and
  - 4) Strengthened security research and innovation (horizontal issues);
- assist DG HOME, with regard to these four thematic areas, in:
  - a. determining capability gaps and research needs, based on the operational needs of practitioners (police, border guards, customs, first responders etc.) as well as in identifying the most promising tools developed by research that have the potential to be taken up by practitioners;

- b. supporting synergies and the exchange of knowledge among security research projects and other relevant activities (e.g. practitioner and knowledge networks);
- c. promoting the testing and validation of research projects and of their results in an operational environment and the dissemination of test results among end-users;
- d. promoting the uptake of innovative technologies;
- e. analysing the impact of innovations developed by the research projects on society, practitioners and the market.
- establish cooperation and coordination between the Commission and Member States or stakeholders on questions relating to the implementation of Union legislation, programmes and policies in the field of security research and innovation.
- bring about an exchange of experience and good practice in the field of security research and innovation.

The Commission is calling for applications with a view to selecting members of the group.

# 2. Features of the Group

# 2.1. Composition

The group shall consist of up to 65 members.

Members shall be individuals appointed in a personal capacity (Type A members).

Members appointed in a personal capacity shall act independently and in the public interest.

## 2.2. APPOINTMENT

Members shall be appointed by the Director General of DG HOME from applicants complying with the requirements referred to in chapter 4 of this call-

Members shall be appointed for three years. They shall remain in office until replaced or until the end of their term of office. Their term of office may be renewed by three years.

In order to ensure continuity and the smooth functioning of the group, DG HOME shall establish a reserve list of suitable candidates that may be used to appoint replacements. DG HOME shall ask applicants for their consent before including their names on the reserve list.

Members who are no longer capable of contributing effectively to the group's deliberations, who in the opinion of DG HOME do not comply with the conditions set out in Article 339 of the Treaty on the functioning of the European Union or who resign, shall no longer be invited to participate in any meetings of the group and may be replaced for the remainder of their term of office.

#### 2.3 RULES OF ENGAGEMENT AND OPERATION OF THE GROUP

The group shall be chaired by a representative of DG HOME.

The group shall act at the request of DG HOME, in compliance with the Commission's

horizontal rules on expert groups ('the horizontal rules')<sup>1</sup>.

In principle, the group shall meet several times per year on Commission premises or via online meeting tools, in order to discuss the tasks of the expert group as described under point 1. DG HOME shall provide secretarial services. Members should be willing to work with electronic methods for the management and exchange of documents and participate in interactive meetings (online or hybrid meetings).

# Members should be prepared to:

- attend meetings systematically,
- to contribute actively to discussions in the group,
- to be involved in preparatory work ahead of meetings,
- to examine and provide comments on documents under discussion, and
- to act, as appropriate, as 'rapporteurs' on ad hoc basis.

As a general rule, working documents will be drafted in English and meetings will be also conducted in English.

The group shall adopt its opinions, recommendations or reports by consensus. In the event of a vote, the outcome of the vote shall be decided by simple majority of the members. The members that voted against or abstained shall have the right to have a document summarising the reasons for their position annexed to the opinions, recommendations or reports.

In agreement with DG HOME, the group may, by simple majority of its members, decide that deliberations shall be public.

Participants in the activities of the group and sub-groups shall not be remunerated for the services they offer. Travel and subsistence expenses incurred by participants in the activities of the group and sub-groups shall be reimbursed by the Commission. Reimbursement shall be made in accordance with the provisions in force within the Commission and within the limits of the available appropriations allocated to the Commission departments under the annual procedure for the allocation of resources.

The members of the group as well as invited experts and observers, are subject to the obligation of professional secrecy, which by virtue of the Treaties and the rules implementing them applies to all members of the institutions and their staff, as well as to the Commission's rules on security regarding the protection of EU classified information, laid down in Commission Decisions (EU, Euratom) 2015/443<sup>2</sup> and 2015/444<sup>3</sup>. Should they fail to respect these obligations, the Commission may take all appropriate measures.

<sup>&</sup>lt;sup>1</sup> Article 13.1 of Commission Decision C(2016)3301 establishing horizontal rules on the creation and operation of Commission expert groups ('horizontal rules').

<sup>&</sup>lt;sup>2</sup> Commission Decision (EU, Euratom) 2015/443 of 13 March 2015 on Security in the Commission, OJ L 72, 17.03.2015, p. 41.

<sup>&</sup>lt;sup>3</sup> Commission Decision (EU, Euratom) 2015/444 of 13 March 2015 on the security rules for protecting EU classified information OJ L 72, 17.03.2015, p. 53.

On a proposal by and in agreement with DG HOME, the group shall adopt its rules of procedure on the basis of the standard rules of procedure for expert groups, in compliance with the horizontal rules<sup>4</sup>.

DG HOME may invite experts with specific expertise with respect to a subject matter on the agenda to take part in the work of the group or sub-groups on an ad hoc basis.

Individuals, organisations and public entities may be granted an observer status, in compliance with the horizontal rules, by direct invitation. Organisations/public entities<sup>33</sup> appointed as observers shall nominate their representatives. Observers and their representatives may be permitted by the Chair to take part in the discussions of the group and provide expertise. However, they shall not have voting rights and shall not participate in the formulation of recommendations or advice of the group.

DG HOME may set up sub-groups for the purpose of examining specific questions on the basis of terms of reference defined by DG HOME. Subgroups shall operate in compliance with the horizontal rules and shall report to the group. They shall be dissolved as soon as their mandate is fulfilled. All members of the sub-groups shall be members of the group. They shall be selected via the public call for applications for the group and no separate call shall be carried out. Any members of sub-groups that are not members of the group shall be selected via a public call for applications.

#### 2.4. Transparency

The group and its sub-groups shall be registered in the Register of Commission expert groups and other similar entities ('the Register of expert groups')<sup>5</sup>.

As concerns the group composition, the following data shall be published on the Register of expert groups:

- the name of individuals appointed in a personal capacity; the name of individuals appointed
- the name of observers;

The Commission shall make available all relevant documents, including the agendas, the minutes and the participants' submissions, on the Register of expert groups and on the dedicated CERIS website<sup>6</sup>, where this information can be found. Access to dedicated websites shall not be submitted to user registration or any other restriction. In particular, DG HOME shall ensure publication of the agenda and other relevant background documents in due time ahead of the meeting, followed by timely publication of minutes. Exceptions to publication shall only be foreseen where it is deemed that disclosure of a document would undermine the protection of a public or private interest as defined in Article 4 of Regulation (EC) N° 1049/2001<sup>7</sup>.

Personal data shall be collected, processed and published in accordance with Regulation (EU) No 2018/1725<sup>8</sup>.

<sup>&</sup>lt;sup>4</sup> See Article 17 of the horizontal rules.

<sup>&</sup>lt;sup>5</sup> http://ec.europa.eu/transparency/regexpert/index.cfm

<sup>&</sup>lt;sup>6</sup> https://ec.europa.eu/home-affairs/secure-safe-resilient-societies/index\_en

<sup>&</sup>lt;sup>7</sup> Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents, OJ L 145, 31.5.2001, p. 43.

<sup>&</sup>lt;sup>8</sup> Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection

# 3. Application procedure

Interested individuals are invited to submit their application to DG HOME to the following email address: <u>HOME-CERIS@ec.europa.eu</u>.

Applications must be completed in one of the official languages of the European Union. However, applications in English would facilitate the evaluation procedure. If another language is used, it would be helpful to include a summary of the CV in English.

An application will be deemed admissible only if it is sent by the deadline and includes the documents referred to below. All documents submitted by applicants should be duly filled in, legible, signed and numbered sequentially.

# Supporting documents

Each application shall include the following documents:

- a cover letter explaining the applicant's motivation for answering this call and stating what contribution the applicant could make to the group;
- a classification form duly filled in specifying the member category for which the application is made (Annex I):
- a selection criteria form duly filled in documenting how the applicant fulfills the selection criteria listed in chapter 4 of this call (Annex II);
- a *curriculum vitae* (CV) shall also be provided, preferably not exceeding three pages. All CVs shall be submitted in the European format (<a href="https://europass.cedefop.europa.eu/en/documents/curriculum-vitae/templates-instructions">https://europass.cedefop.europa.eu/en/documents/curriculum-vitae/templates-instructions</a>) or in a format which provides the information needed to evaluate the individuals applying;
- a declaration of interest ('DOI') (see application form), where individuals applying to be appointed as members of the group in a personal capacity must disclose any circumstances that could give rise to a conflict of interest by submitting a declaration of interests ('DOI') form on the basis of the standard DOI form for expert groups attached to this call. Submission of a duly completed declaration of interests ('DOI') form on the basis of the standard DOI form for expert groups is necessary in order to be eligible to be appointed in a personal capacity. Individuals who answer in the affirmative questions included in the DOI form are required to supply further **details** by filling in the section 'Description'. DG HOME shall perform the conflict of interest assessment in compliance with the horizontal rules<sup>9</sup>.

Additional supporting documents (e.g. publications) may be requested at a later stage.

## Deadline for application

of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (Text with EEA relevance), OJ L 295, 21.11.2018, p. 39.

<sup>&</sup>lt;sup>9</sup> Article 11 of the horizontal rules.

The duly signed applications must be sent by email to DG HOME to the following email address: <u>HOME-CERIS@ec.europa.eu</u> by Monday, 21 March 2022 at the latest. The date of the e-mail will be the date of sending.

#### 4. Selection criteria

DG HOME will take the following criteria into account when assessing applications:

- proven and relevant competence and experience, including at European or international level, in one or more of the following areas:
  - a) fighting crime and terrorism (e.g. organised crime, terrorism and radicalisation, cybercrime, societal issues);
  - b) protection of critical infrastructure (e.g. energy, health, communication and information technology, supply chains, urban environments);
  - c) disaster resilient societies (e.g. natural, accidental and human induced disasters);
  - d) border management and customs (e.g. secure travel facilitation, irregular border crossings, flow of goods and trade, maritime security);
  - e) research and innovation management and other disciplines contributing to the swift uptake of innovation for security (e.g. analysis, testing and validation, standardisation, industrial policies, innovation procurement, dissemination and communication);
- at least five years of professional experience in the area of expertise for which the candidate is applying;
- experience with or knowledge relevant for the EU security research environment;
- absence of circumstances that could give rise to a conflict of interest;
- good knowledge of the English language allowing active participation in the discussions and in the drafting of documents.

The expertise may be demonstrated by publications.

Experience with attending meetings and conferences of the EU security research environment and with participating in EU-funded security-related projects and would be considered as an asset.

# 5. Selection procedure

The selection procedure shall consist of an assessment of the applications performed by the DG HOME against the selection criteria listed in chapter 4 of this call, followed by the establishment of a list of the most suitable applicants, and concluded by the appointment of the members of the group.

When defining the composition of the group, DG HOME shall aim at ensuring, as far as possible, a high level of expertise, as well as a balanced representation of relevant know how and areas of interest, while taking into account the specific tasks of the group, the type of expertise required, as well as the relevance of the applications received.

DG HOME shall seek a geographical balance and a gender balance.

For any further information please contact <a href="https://example.center.ncb/">HOME-CERIS@ec.europa.eu</a> .

# **ANNEXES**:

- Annex I: Classification form

- Annex II: Selection criteria form

- Annex III: Standard declaration of interests

- Annex IV: Guidance for filling in the declaration of interests

- Annex V: Privacy statement

# Annex I - Classification form<sup>10</sup>

# To be filled in by all applicants

This application is made as:

□ An individual applying to be appointed in a personal capacity (Type A member); if appointed I shall act independently and in the public interest.
For individuals applying to be appointed as Type A members
Title:
Surname:
First name:
Date:
Signature

 $<sup>^{10}</sup>$  This form must be filled in, signed and returned with the application.

# Annex II: Selection criteria form<sup>11</sup>

Applicants are requested to describe how they fulfil the selection criteria listed in this call.

	n and relevant competence and	
-	ence, including at European or	
	ational level, in one or more of the	
follow	ring areas:	
a)	fighting crime and terrorism (e.g.	
	organised crime, terrorism and	
	radicalisation, cybercrime, societal	
	issues);	
b)	protection of critical infrastructure	
	(e.g. energy, health, communication	
	and information technology, supply	
	chains, urban environments);	
c)	disaster resilient societies (e.g. natural,	
	accidental and human induced	
	disasters);	
d)	border management and customs (e.g.	
	secure travel facilitation, irregular	
	border crossings, flow of goods and	
	trade, maritime security);	
e)	research and innovation management	
	and other disciplines contributing to	
	the swift uptake of innovation for	
	security (e.g. analysis, testing and	
	validation, standardisation, industrial	
	policies, innovation procurement,	
	dissemination and communication).	
At lea	st five years of professional experience	
	area of expertise for which the candidate	
is app	-	
- 11		
Exper	ience with or knowledge relevant for the	
EU se	curity research environment;	
Good	knowledge of the English language	
	ng active participation in the discussions	
	the drafting of documents.	
Absen	ce of circumstances that could give rise	
	onflict of interest.	

The expertise may be demonstrated by publications. Experience with participating in EU-funded security-related projects and with attending meetings and conferences of the EU security research environment would be considered as an asset.

<sup>&</sup>lt;sup>11</sup> This form <u>must</u> be filled in, signed and returned with the application.

For individuals applying to be appointed as Type A members
Title:
Surname:
First name:
Date:
Signature

# **Annex III**

Standard declaration of interests (DOI) form for individuals applying to be appointed as members of expert groups or sub-groups in a personal capacity

# Legal basis:

Commission Decision C(2016)3301 establishing horizontal rules on the creation and operation of Commission expert groups, Articles 2(4) and 11.

# Definitions:

"Conflict of interest" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the Commission in relation to the subject of the work performed by the expert group or sub-group in question.

"Immediate family member" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"Legal entity" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"Meeting" includes a series or cycle of meetings.

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Please answer each of the questions below. If the answer to any of the questions is "yes", please provide details on relevant interests and circumstances, as appropriate.

If you do not do so, your DOI form will be considered incomplete and, therefore, your application to be appointed as a member of an expert group or sub-group in a personal capacity shall be rejected.

First name:	
Family name:	
Expert group/sub-group:	

1	EMPLOVMENT	CONSULTANCY AND	D LEGAL REPRESENTATION
1.		CONSULTANCEANL	I) LEATAL REFRESENTATION

	Within the past 5 other professional held any non-remuan interest in the question?	$\begin{bmatrix} r \\ h \end{bmatrix}$	no		
1a	Employment				
1b	Consultancy, incl	uding services as an ad	visor		
1c	Non-remunerated	post			
1d	Legal representat	ion			
Acti	Activity  Time period (from until month/year)  Name of entity or body				tion
	MBERSHIP OF I	· · · · · · · · · · · · · · · · · · ·	SCIENTIFIC ADVI	SORY 1	BODY
	decision-making of the field of activity have you participe	f a legal entity or other ty of the expert group/s	ticipated in the internate body with an interest in sub-group in question of Scientific Advisory Bodytity?	$\begin{bmatrix} r \\ r \end{bmatrix}$	no
2a	Participation in a	decision-making proce	ess		
2b	Participation in tl	ne work of a Scientific	Advisory Body		

Acu	vity	(from until month/year)	or body	Descript	uon
RES	EARCH SUPPOR	rt			
	you belong, rece	ived any support from	the research entity to whic a legal entity or other boa the expert group/sub-grou	ly	n
3a		oort, including gran -monetary support	nts, rents, sponsorship	s, 🗆	
Activ	vity	Time period (from until month/year)	Name of legal entity or body	Descript	tion
	ANCIAL INTERE	ESTS			
FINA					
FINA	the field of action	ivity of the expert gr g of stocks and shares, R per legal entity or enti	gal entity with an interest is oup/sub-group in question and which amounts to more itling you to a voting right of	n, e	n
FINA	the field of action including holding than 10,000 EUR	ivity of the expert gr g of stocks and shares, R per legal entity or enti	oup/sub-group in question and which amounts to mor	n, e	n

4b	Other stock				
	'			1	
Inves	stment	Name of legal entity	Descrip	otion	
			I		
INTI	ELLECTUAL PROPE	ERTY			
		ellectual property rights that might		yes	no
	group in question?	he work carried out by the expert	group/sub-		
5a	Patent, trademarks,	or copyrights			
5b	Others				
Intel	lectual property	Description			
PI IR	LIC STATEMENTS A	AND POSITIONS			
ТОБ.				T <b>T</b> 7	
	testimony in the field	ars, have you provided any expert d of activity of the expert group/su	ub-group in	Yes	no
	_	l entity or other body as part of a al process? Have you held an offi	•		
	position, paid or u	unpaid, where you represented i	interests or		
	group in question?	in the field of activity of the expert	group/sub-		
	defended an opinion	in the field of activity of the expert			

6a	For a legal entity or other body as part of a regulatory, legislative or judicial process				
6b	Represented int	epresented interests or defended an opinion			
				•	
Activ	vity	Time period (from until month/year)	Name of legal entity or body	Description	n
7	INTERESTS O	F IMMEDIATE FAM	IILY MEMBERS	yes	no
7a	family member independence w	rs which could be so	nterests of your immediate een as undermining your to the Commission in the ab-group in question?	r	
Inter	rests	Time period (from until month/year)	Name of legal entity or body	Description	on
		1	<u>'</u>		
<b>7</b> b	If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interest included in the DOI and to provide them with the privacy statement attached to the guidance for filling in this DOI, and this at the latest when you file the DOI form with the Commission.				-

8	OTHER RELEVANT INFORMATION	yes	no
8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of activity of the expert group/sub-group in question?		
Descr	ription:		
	****		
I her	eby declare on my honour that I have read the guidance for comple	ting this f	orm.
	o declare on my honour that the information disclosed in this elete to the best of my knowledge.	form is	true and
activ	ld there be any change to the above information, including as ities, I will promptly notify the competent Commission department DOI form describing the changes in question.	_	
Com	informed that my personal data are stored, processed and mission in accordance with Regulation (EU) $2018/1725^{12}$ and Co $16)\ 3301^{13}$ .		
	ve been provided with the corresponding privacy statement whic mation on how the Commission processes my personal data.	h provide	s further
Date:	Signature:		_
	****		

Regulation (EU) 2018/1725 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data.

Commission Decision C(2016) 3301 establishing horizontal rules on the creation and operation of Commission expert groups.

Your DOI form shall be made publicly available on the Register of Commission Expert Groups and Other Similar Entities, as long as you are appointed as member of the expert group or subgroup in a personal capacity. Technical measures will be taken to indicate to search engines that your DOI form should not appear in search results.

# **Annex IV**

Guidance for filling in the declaration of interests (DOI) form by individuals applying to be appointed as members of expert groups or sub-groups in a personal capacity

According to the Commission's horizontal rules on expert groups ('the horizontal rules'), Commission expert groups and other similar entities are consultative bodies<sup>14</sup>, the role of which is to provide advice and expertise to the Commission and its departements in relation to a number of tasks<sup>15</sup>. Individuals appointed as members of expert groups or sub-groups in a personal capacity are due to act independently and in the public interest<sup>16</sup>.

In order to ensure the highest integrity of experts, you are requested to duly complete the DOI form. You are required to disclose any circumstances that could give rise to a conflict of interest, i.e. any situation where your interests may compromise or may reasonably be perceived to compromise your capacity to act independently and in the public interest in providing advice to the Commission, in relation to the subject of the work performed by the expert group or subgroup in question. In particular, you must disclose in this DOI form any relevant professional and financial interests.

You must also declare relevant interests of your immediate family members. If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in this DOI form and to provide them with the privacy statement attached to this guidance, and this at the latest when you file the DOI form with the Commission.

Please submit the completed DOI form to the competent Commission department, together with your CV, as part of your application to become member of an expert group or sub-group in a personal capacity. If there is any change concerning the information provided in the form, including on upcoming activities, you must promptly inform the competent Commission departments by completing a new DOI form which describes the changes in question.

Please note that having a declared interest does not necessarily mean having a conflict of interest. Answering "Yes" to a question on this DOI form does not automatically disqualify you or limit your participation in an expert group or sub-group. The competent Commission departments will review your answers in accordance with the horizontal rules and determine whether a conflict of interest relevant to the subject at hand exists<sup>17</sup>.

Where the competent Commission departments conclude that no conflict of interest exists, you are eligible to be appointed in a personal capacity. Where the competent Commission departments conclude that your interests may compromise or be reasonably perceived to compromise your capacity to act independently and in the public interest when providing advice to the Commission in relation to the subject of the work performed by the expert group or subgroup in question, they shall take one of the following measures to deal with the conflict of interest detected, depending on the specific circumstances:

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<sup>14</sup> C(2016) 3301, Article 2.1.
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<sup>15</sup> Idem, Article 3.

<sup>&</sup>lt;sup>16</sup> Idem, Article 7.2. (a).

<sup>17</sup> Idem, Article 11.

- You shall not be appointed in a personal capacity to the expert group or sub-group in question; in such case the competent Commission department shall inform you about the outcome of the conflict of interest assessment performed;
- You shall be appointed as member of the expert group or sub-group in a personal capacity with a number of specific restrictions: you may be excluded from certain meetings and/or activities carried out by the group, such as drafting opinions or recommendations; you may also be required to abstain from discussing certain agenda items and/or from any vote on those items;
- You shall be appointed as member of the expert group or sub-group representing a common interest shared by a number of stakeholders, after consultation of the stakeholders concerned.

Your DOI form shall be made publicly available on the Register of Commission Expert Groups and Other Similar Entities, as long as you are appointed as member of the expert group or subgroup in a personal capacity. Technical measures will be taken to indicate to search engines that your DOI form should not appear in search results.

If you decline to complete a DOI form, you are not eligible to be appointed as a member of the expert group or sub-group in question in a personal capacity.

Personal data shall bestored, processed and published by the Commission in accordance with Regulation (EU) 2018/1725<sup>18</sup> and Commission Decision C(2016) 3301<sup>19</sup>.

Regulation (EU) 2018/1725 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data.

Commission Decision C(2016) 3301 establishing horizontal rules on the creation and operation of Commission expert groups.

# Annex V

# **Privacy Statement**



#### **EUROPEAN COMMISSION**

#### PROTECTION OF YOUR PERSONAL DATA

This privacy statement provides information about the processing and the protection of your personal data.

**Processing operation:** Selection of members of Commission expert groups and other similar entities<sup>20</sup> and publication of personal data on the Register of Commission expert groups and other similar entities ("the Register of expert groups").

#### **Data Controller:**

- Secretariat-General, Unit G4 (for the processing operation "Providing a public register of Commission expert groups and other similar entities", DPR-EC-00656), and
- Directorate-General for Migration and Home Affairs, Unit B4 Innovation and Security Research (for the processing operation "Selection of members of Commission expert groups and other similar entities", DPR-EC-01066).

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#### 1. Introduction

The European Commission (hereafter 'the Commission') is committed to protect your personal data and to respect your privacy. The Commission collects and further processes personal data pursuant to Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data.

This privacy statement explains the reasons for the processing of your personal data, the way we collect, handle and ensure protection of all personal data provided, how that information is used and what rights you have in relation to your personal data. It also specifies the contact details of the responsible Data Controller with whom you may exercise your rights, of the Data Protection Officer and of the European Data Protection Supervisor.

This privacy statement concerns the following processing operations:

- (1) "selection of members of Commission expert groups and other similar entities" undertaken by the Commission department which runs the selection process for your expert group and which is the Data Controller for the selection process Directorate-General for Migration and Home Affairs, Unit C2 Legal Pathways and Integration, and
- (2) "publication of personal data on the Register of expert groups and other similar entities" undertaken by the Commission, Secretariat-General, Unit G.4 which is the Data Controller together with the Commission department managing your expert group.

As a rule, the selection of expert group members is carried out via public calls for applications, except for Member States' authorities and other public entities and for certain representative bodies established by Union legislation for advice in specific areas.

The Register of expert groups and other similar entities is a public database containing a list of Commission expert groups and other similar entities and their sub-groups. For each expert group, the Register provides valuable information including personal data on the members of the expert groups, and their alternate, if any, members' representatives, as well as of the groups' observers and their representatives. The Register also includes documents that are produced and discussed by expert groups and which can contain personal data.

For the purpose of this privacy statement and the corresponding records, the term "expert groups" describes Commission expert groups in the sense of Article 2(1) of Commission Decision C(2016)3301 $^{21}$  and their sub-groups, as well as other similar entities in the sense of Article 2(2) of Commission Decision C(2016)3301.

Commission Decision C(2016)3301 of 30 May 2016 establishing horizontal rules on the creation and operation of Commission expert groups.

#### 2. Why and how do we process your personal data?

#### Purpose of the processing operations:

The Data Controllers collect and use your personal data to manage Commission expert groups, in particular by selecting their members and observers, and to ensure transparency on expert groups' membership and activities. In that context, in order to select among the applicants who best fulfil the selection criteria mentioned in the call for applications, Commission services collect and assess personal information of candidate members and observers of the expert groups, of representatives of candidate members and observers, and of immediate family members of candidate members and observers appointed in personal capacity.

Furthermore, Commission services collect and assess personal information of observers and members' and observers' representatives of the expert groups which are not selected through a public call for applications.

For candidates, personal data is stored by the Commission service managing the expert group. Some types of personal data of individuals appointed as members (either appointed in personal capacity to act independently and in the public interest or to represent a common interest shared by stakeholders in a particular policy area) are made publicly available on the Register of expert groups (as described under Headings 4 and 5 of this privacy statement). The names of the representatives of organisations, Member States and other public entities, as well as the name of specific national departments or other public authority which they represent may also be made publicly available on the Register of expert groups.

Your personal data will not be used for an automated decision-making including profiling.

## 3. On what legal ground(s) do we process your personal data

We process your personal data, because processing is necessary for the performance of a task carried out in the public interest (Article 5(1)(a) of Regulation (EU) 2018/1725), since it allows for the selection of members of expert groups (individuals appointed as members in a personal capacity, individuals appointed to represent a common interest and organisations) and also increases the transparency on expert groups. Processing is also necessary to comply with a legal obligation to which the controller is subject (Article 5(1)(b) of Regulation (EU) 2018/1725). The Union act for such necessary processing under Article 5(1)(a) and (b) of Regulation (EU) 2018/1725 is Commission Decision C(2016)3301 of 30 May 2016 establishing horizontal rules on the creation and operation of Commission expert groups and in particular Articles 10 and 22 thereof.

As regards, in particular, the declarations of interests filled in by candidate members to be appointed in a personal capacity in expert groups, the processing of personal data serves the public interest of enabling the Commission to verify in the process of selection the experts' independence in providing advice to the Commission. Furthermore, the public disclosure of declarations of interests of those experts once appointed allows for public scrutiny of the interests declared by these experts, which is necessary in order to ensure public confidence in the independence of these experts. The public disclosure of declarations of interests also ensures a high degree of transparency with respect to the membership of expert groups and aims at contributing to fostering the integrity of the experts in question.

Any publication of names of the representatives of organisations, Member States' authorities and other public entities in the Register of expert groups is based on consent (Article 5(1)(d) of Regulation (EU) 2018/1725).

# 4. Which personal data do we collect and further process?

In order to carry out these processing operations, the Data Controller may collect the following categories of personal data:

- Name;
- Function;
- Contact details (for example, e-mail address, telephone number, mobile telephone number, fax number, postal address, company and department, country of residence, IP address);
- Information for the evaluation of selection criteria or eligibility criteria (for example, expertise, technical skills and languages, educational background, professional experience, including details on current and past employment);
- Nationality;
- Gender;
- Interest represented (only for individuals applying to be appointed as members of expert groups
  or sub-groups representing a common interest shared by stakeholders in a particular policy area
  and for organisations applying to be appointed as members of expert groups or sub-groups, as
  well as for their designated representatives);
- Information included in the declarations of interests, including personal data of immediate family members as required in the declaration of interests (only for individuals applying to be appointed as members of expert groups or sub-groups in a personal capacity).

The provision to the Commission service of the personal data required is mandatory to meet a legal requirement of selecting members of expert groups as set in Commission Decision C(2016)3301. In principle, the types of personal data listed above (with the exception of contact details and information for the evaluation of selection criteria or eligibility criteria) are made publicly available on the Register of expert groups, in order to comply with the legal requirement to ensure transparency on the composition and functioning of Commission expert groups. If you do not provide the personal data required, possible consequences are that you will not be considered for selection as a member of an expert group or, if already selected, your membership will be suspended.

With the prior freely given, specific, informed and unambiguous consent of the representatives of organisations, Member States' authorities and other public entities, their names may also be published on the Register.

# 5. How long do we keep your personal data?

The Data Controller only keeps your personal data for the time necessary to fulfil the purpose of collection or further processing. The following modalities apply:

 The competent Commission services keep personal data submitted to them as part of rejected applications for three years after the end of the selection process and do not process them for other purposes; these personal data are not published on the Register of expert groups.

- The expert group and some types of personal data of its members and observers, as described in Heading 4, are published on the Register of expert groups during the duration of existence of the expert group.
- When an individual is no longer member or observer or representative of a member or observer of an expert group listed in the Register of expert groups, all personal data related to this individual, including a declaration of interests, is removed from the Register and is therefore not public anymore.
- The competent Commission services keep personal data for the period during which the relevant individual is a member or an observer or a representative of a member or of an observer of the group and for five years after the date on which the individual is no longer member or observer or representative of a member or observer of the group.
- When a group is closed down, it remains published in the Register of expert groups for five years, with the indication 'Closed'. Those types of personal data other than the declarations of interests of members appointed in personal capacity that were published while the group was active remain visible on the Register of expert groups during these five years. On the contrary, the said declarations of interests are removed from the Register after closure of a group and are therefore not public anymore; they are however kept by the competent Commission service for a period of five years after the closure of the group.
- An XML file is created daily with all the information regarding active groups. All versions of this
  file, showing the situation of the Register of expert groups as of the day it was created, are
  stored in a file server for 5 years and are not public.

#### 6. How do we protect and safeguard your personal data?

Personal data submitted in paper form is stored in the competent Commission service. All personal data in electronic format (e-mails, documents, databases, uploaded batches of data, etc.) are stored on the servers of the Commission. All processing operations are carried out pursuant to the <u>Commission Decision (EU, Euratom) 2017/46</u> of 10 January 2017 on the security of communication and information systems in the European Commission.

In order to protect your personal data, the Commission has put in place a number of technical and organisational measures. Technical measures include appropriate actions to address online security, risk of data loss, alteration of data or unauthorised access, taking into consideration the risk presented by the processing and the nature of the personal data being processed. Organisational measures include restricting access to the personal data solely to authorised persons with a legitimate need to know for the purposes of this processing operation.

## 7. Who has access to your personal data and to whom is it disclosed?

Access to your personal data collected in the course of the process of selection of members of expert groups is provided to the Commission staff authorised for carrying out this processing operation and to other authorised Commission staff according to the "need to know" principle. Such staff abide by statutory, and when required, additional confidentiality agreements.

Certain personal data collected, as explained in Headings 4 and 5, is publicly available on the Register of expert groups.

The XML files referred to in Heading 5 are only accessible to a reduced number of users in the Secretariat-General (System Owner) and IT development team within the Commission (System Supplier).

Please note that pursuant to Article 3(13) of Regulation (EU) 2018/1725, public authorities (e.g. Court of Auditors, EU Court of Justice) which may receive personal data in the framework of a particular inquiry in accordance with Union or Member State law shall not be regarded as recipients. The further processing of those data by those public authorities shall be in compliance with the applicable data protection rules according to the purposes of the processing.

The information we collect will not be given to any third party, except to the extent and for the purpose we may be required to do so by law.

## 8. What are your rights and how can you exercise them?

You have specific rights as a 'data subject' under Chapter III (Articles 14-25) of Regulation (EU) 2018/1725, in particular the right to access your personal data and to rectify them in case your personal data is inaccurate or incomplete. Under certain conditions, you have the right to erase your personal data, to restrict the processing of your personal data, to object to the processing and the right to data portability.

You have the right to object to the processing of your personal data on grounds relating to your particular situation in accordance with Article 23(1) of Regulation (EU) 2018/1725.

As indicated in Heading 4, if you are a representative of an organisation, Member State or another public entity, you may consent to have your name published on the Register of expert groups. You can withdraw your consent at any time by notifying the Data Controller. The withdrawal will not affect the lawfulness of the processing carried out before you have withdrawn the consent.

Finally, and only as regards the publication of your name on the Register of expert groups, you may submit a request to the competent Commission service for a derogation where justified on compelling legitimate grounds in relation to your specific situation (such as the case where the publication of your name on the Register of expert groups could endanger your security or integrity).

You can exercise your rights by contacting the Data Controller or, in case of conflict, the Data Protection Officer. If necessary, you can also address the European Data Protection Supervisor. Their contact information is given under Heading 9 below.

Where you wish to exercise your rights in the context of one or several specific processing operations, please provide their description (i.e. their Record reference(s) as specified under Heading 10 below) in your request.

Any request for access to personal data will be handled within one month. Any other request mentioned above will be addressed within 15 working days.

#### 9. Contact information

# - The Data Controller

Regarding the personal data collected in the course of the process of selection of the members of the expert groups, if you would like to exercise your rights under Regulation (EU) 2018/1725, or if you have comments, questions or concerns, or if you would like to submit a complaint, please contact HOME-NOTIFICATIONS-C2@ec.europa.eu.

Likewise, as regards the data published on the Register of expert groups, please contact the

corresponding Data Controller Secretariat-General, Unit G4: <a href="mailto:SG-EXPERT-GROUPS@ec.europa.eu">SG-EXPERT-GROUPS@ec.europa.eu</a>.

# - The Data Protection Officer (DPO) of the Commission

You may contact the Data Protection Officer (<u>DATA-PROTECTION-OFFICER@ec.europa.eu</u>) with regard to issues related to the processing of your personal data under Regulation (EU) 2018/1725.

## The European Data Protection Supervisor (EDPS)

You have the right to have recourse (i.e. you can lodge a complaint) to the European Data Protection Supervisor (edps@edps.europa.eu) if you consider that your rights under Regulation (EU) 2018/1725 have been infringed as a result of the processing of your personal data by the Data Controller.

#### 10. Where to find more detailed information?

The Commission Data Protection Officer (DPO) publishes the register of all processing operations on personal data by the Commission, which have been documented and notified to him. You may access the register via the following link: <a href="http://ec.europa.eu/dpo-register">http://ec.europa.eu/dpo-register</a>.

These specific processing operations will be included in the DPO's public register with the following Record references: DPR-EC-01066 and DPR-EC-00656.